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1FW 2137
PATENT APPLICATION

ATTORNEY DOCKET NO. 10002170-2



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Brian Jemes

Confirmation No.:

Application No.: 09/726,072

Examiner: Minh D.T. Nguyen

Filing Date: 11/29/2000

Group Art Unit: 2137

Title: SYSTEM AND METHOD FOR IMPLEMENTING NETWORK SECURITY POLICIES ON A
COMMON NETWORK INFRASTRUCTURE

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

02/02/2005 HMARZ11 00000036 082025 09726072
01 FC:1806 180.00 DA

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- () under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:
 - () Statement under 37 CFR 1.97(e), or
 - (X) a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97 (d) together with a:
 - () Statement under 37 CFR 1.97(e)(1) or (2), and
 - () a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$180.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- (X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, Alexandria, VA
22313-1450. Date of Deposit: 01/27/2005

OR

- () I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____
Number of pages: _____

Typed Name: Rachel Carter

Signature: Rachel Carter

Respectfully submitted,

Brian Jemes

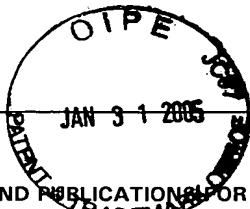
By Ketan S. Vakil

Ketan S. Vakil, Esq.

Attorney/Agent for Applicant(s)

Reg. No. 43,215

Date: 01/27/2005

**PATENT APPLICATION**

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10002170-2

APPLICATION NO.

09/726,072

CONFIRMATION NO.

APPLICANT

Brian James

FILING DATE

11/29/2000

GROUP

2137

REFERENCE DESIGNATION**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,864,666	01/26/1999	Shrader	
	1B	5,884,025	03/16/1999	Baehr et al.	
	1C	6,012,088	01/04/2000	Li et al.	
	1D	6,182,226	01/30/2001	Reid et al.	
	1E	6,223,209	04/24/2001	Watson	
	1F	6,243,754	06/05/2001	Guerin et al.	
	1G	6,345,299	02/05/2002	Segal	
	1H	6,353,886	03/05/2002	Howard et al.	
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	WO98/57465	12/17/1998	VPNET Technologies		
	1M	WO00/78001	12/21/2000	Microsoft Corp		
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	BELLOVIN, et al., "Network Firewalls", IEEE Communications Magazine, Sep. 1994, pp. 50-57
	1R	LOSHIN, Pete, "TCP/IP Clearly Explained", Morgan Kaufmann, 1999, pp. 38-40
	1S	

EXAMINER

DATE CONSIDERED